

Theoretical Tools for Heavy Quark Physics

Thomas Mannel

Theoretische Physik I Universität Siegen

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Why Heavy Quark Physics?

- Flavour Mixing and CP-Violations are two of the most important topics of contemporary Particle Physics
- It is all encoded in the **UNITARY CKM MATRIX** appearing in the charged current interaction:

$$\mathcal{L} = \frac{g}{\sqrt{2}} (\bar{u} \quad \bar{c} \quad \bar{t}) \gamma^\mu (1 - \gamma_5) W_\mu V_{CKM} \begin{pmatrix} d \\ s \\ b \end{pmatrix}$$

- Entries in the CKM matrix:

$$V_{CKM} = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

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CKM Matrix: Basics

- Tree dimensional (real) Rotation: Three angles θ_{ij}

$$U_{12} = \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix}, \quad U_{13} = \begin{bmatrix} c_{13} & 0 & s_{13} \\ 0 & 1 & 0 \\ -s_{13} & 0 & c_{13} \end{bmatrix}, \quad U_{23} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix}$$

- Single phase δ :
$$U_{\delta} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{-i\delta_{13}} \end{bmatrix}.$$

- PDG CKM Parametrization:

$$V_{\text{CKM}} = U_{23} U_{\delta}^{\dagger} U_{13} U_{\delta} U_{12}$$

- Large Phases in $V_{ub} = |V_{ub}| e^{-i\gamma} = s_{13} e^{-i\delta_{13}}$ and $V_{td} = |V_{td}| e^{i\beta}$

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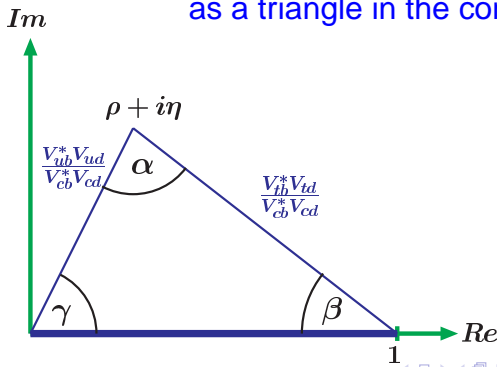
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CKM Unitarity: Unitarity Triangle

- Out of six Unitarity Triangles only two have **sides of comparable lengths**:

- Depict the relation $V_{ub}^* V_{ud} + V_{cb}^* V_{cd} + V_{tb}^* V_{td} = 0$ as a triangle in the complex plane



- Heavy Quarks: $m_Q \gg \Lambda_{\text{QCD}}$
 - Top Quark: $m_t \sim 175 \text{ GeV}$ (too heavy)
 - Bottom Quark: $m_b \sim 4.5 \text{ GeV}$ (just o.k.)
 - Charm Quark: $m_c \sim 1.5 \text{ GeV}$ (borderline case)
 - Strange Quarks: $m_s \sim 0.1 \text{ GeV}$ (too light, but ...)
- Almost all CKM matrix elements describe transitions involving one or even two heavy quarks.
- Determination of these matrix elements involve to deal with the strong interaction of heavy quarks.

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Effective Field Theories

- Weak decays:
Very different mass scales are involved:
 - $\Lambda_{\text{QCD}} \sim 200 \text{ MeV}$: Scale of strong interactions
 - $m_c \sim 1.5 \text{ GeV}$: Charm Quark Mass
 - $m_b \sim 4.5 \text{ GeV}$: Bottom Quark Mass
 - $m_t \sim 175 \text{ GeV}$ and $M_W \sim 81 \text{ GeV}$:
Top Quark Mass and Weak Boson Mass
 - Λ_{NP} Scale of “new physics”
- At low scales the high mass particles / high energy degrees of freedom are irrelevant.
- Construct an “effective field theory” where the massive / energetic degrees of freedom are removed (“integrated out”)

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Integrating out heavy degrees of freedom

- ϕ : light fields, Φ : heavy fields with mass Λ
- Generating functional as a functional integral
 Integration over the heavy degrees of freedom

$$\begin{aligned}
 Z[J] &= \int [d\phi][d\Phi] \exp \left(\int d^4x [\mathcal{L}(\phi, \Phi) + j\phi] \right) \\
 &= \int [d\phi] \exp \left(\int d^4x [\mathcal{L}_{\text{eff}}(\phi) + j\phi] \right) \text{ with} \\
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- For length scales $x \gg 1/\Lambda$: local effective Lagrangian
- Technically: (Operator Product) Expansion in inverse powers of Λ

$$\mathcal{L}_{\text{eff}}(\phi) = \mathcal{L}_{\text{eff}}^{(4)}(\phi) + \frac{1}{\Lambda} \mathcal{L}_{\text{eff}}^{(5)}(\phi) + \frac{1}{\Lambda^2} \mathcal{L}_{\text{eff}}^{(6)}(\phi) + \dots$$

- \mathcal{L}_{eff} is in general non-renormalizable, but ...
- $\mathcal{L}_{\text{eff}}^{(4)}$ is the renormalizable piece
- For a fixed order in $1/\Lambda$: Only a finite number of insertions of $\mathcal{L}_{\text{eff}}^{(4)}$ is needed!
- \rightarrow can be renormalized
- Renormalizability is not an issue here

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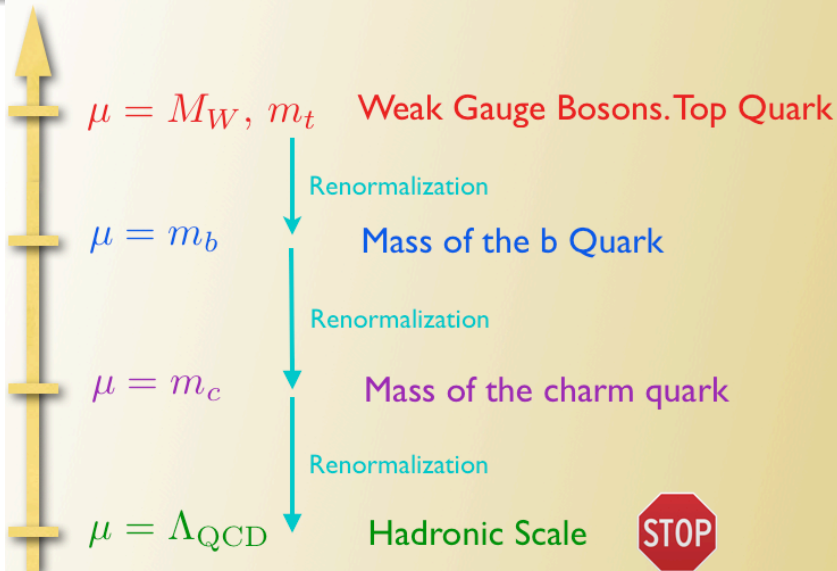
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Heavy Quark Limit

Isgur, Wise, Voloshin, Shifman, Georgi, Grinstein, ...

- $1/m_Q$ Expansion: Substantial Theoretical Progress!
- Static Limit: $m_b, m_c \rightarrow \infty$ with fixed (four)velocity

$$v_Q = \frac{p_Q}{m_Q}, \quad Q = b, c$$

- In this limit we have

$$\left. \begin{aligned} m_{Hadron} &= m_Q \\ p_{Hadron} &= p_Q \end{aligned} \right\} v_{Hadron} = v_Q$$

- For $m_Q \rightarrow \infty$ the heavy quark does not feel any recoil from the light quarks and gluons (Cannon Ball)
- This is like the H-atom in Quantum Mechanics !!

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- **This is like the H-atom in Quantum Mechanics !!**

Heavy Quark Limit

Isgur, Wise, Voloshin, Shifman, Georgi, Grinstein, ...

- **$1/m_Q$ Expansion: Substantial Theoretical Progress!**
- Static Limit: $m_b, m_c \rightarrow \infty$ with fixed (four)velocity

$$v_Q = \frac{p_Q}{m_Q}, \quad Q = b, c$$

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Heavy Quark Symmetries

- The interaction of gluons is **identical for all quarks**
- Flavour enters QCD only through the mass terms
 - $m \rightarrow 0$: (Chiral) Flavour Symmetry (Isospin)
 - $m \rightarrow \infty$ **Heavy Flavour Symmetry**
 - Consider b and c heavy: Heavy Flavour SU(2)
- **Coupling of the heavy quark spin to gluons:**

$$H_{int} = \frac{g}{2m_Q} \bar{Q}(\vec{\sigma} \cdot \vec{B})Q \xrightarrow{m_Q \rightarrow \infty} 0$$

- Spin Rotations become a symmetry
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$$|(b\bar{u})_{J=0}\rangle = |B^-\rangle$$

$$|(b\bar{d})_{J=0}\rangle = |\bar{B}^0\rangle$$

$$|(b\bar{s})_{J=0}\rangle = |\bar{B}_s\rangle$$

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Charm:

$$|(c\bar{u})_{J=0}\rangle = |D^0\rangle$$

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Wigner Eckart Theorem for HQS

- HQS imply a “Wigner Eckart Theorem”

$$\langle H^{(*)}(v) | Q_v \Gamma Q_{v'} | H^{(*)}(v') \rangle = C_\Gamma(v, v') \xi(v \cdot v')$$

with $H^{(*)}(v) = D^{(*)}(v)$ or $B^{(*)}(v)$

- $C_\Gamma(v, v')$: Computable Clebsh Gordan Coefficient
- $\xi(v \cdot v')$: Reduced Matrix Element
- $\xi(v \cdot v')$: universal non-perturbative Form Faktor:
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- Normalization of ξ at $v = v'$:

$$\xi(v \cdot v' = 1) = 1$$

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Heavy Quark Effective Theory

- The heavy mass limit can be formulated as an effective field theory
- Expansion in inverse powers of m_Q
- Define the static field h_v for the velocity v

$$h_v(x) = e^{im_Q v \cdot x} \frac{1}{2} (1 + \not{v}) b(x) \quad p_Q = m_Q v + k$$

- HQET Lagrangian

$$\mathcal{L} = \bar{h}_v (i v \cdot D) h_v + \frac{1}{2m_Q} \bar{h}_v (i \not{D})^2 h_v + \dots$$

- Dim-4 Term: Feynman rules, loops, renormalization...

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Heavy to Heavy: $B \rightarrow D l \bar{\nu}_l$ and $B \rightarrow D^* l \bar{\nu}_l$

- Kinematic variable for a heavy quark: Four Velocity v
- Differential Rates

$$\frac{d\Gamma}{d\omega}(B \rightarrow D^* l \bar{\nu}_l) = \frac{G_F^2}{48\pi^3} |V_{cb}|^2 m_{D^*}^3 (\omega^2 - 1)^{1/2} P(\omega) (\mathcal{F}(\omega))^2$$

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Heavy Quark Symmetries

- Normalization of the Form Factors is known at $v v' = 1$ from Heavy Quark Symmetries:
- Corrections can be calculated / estimated in HQET

$$\mathcal{F}(\omega) = \eta_{\text{QED}} \eta_A [1 + \delta_{1/\mu^2} + \dots] + (\omega - 1) \rho^2 + \mathcal{O}((\omega - 1)^2)$$

$$\mathcal{G}(1) = \eta_{\text{QED}} \eta_V \left[1 + \mathcal{O} \left(\frac{m_B - m_D}{m_B + m_D} \right) \right]$$

- Parameter of HQS breaking: $\frac{1}{\mu} = \frac{1}{m_c} - \frac{1}{m_b}$
- $\eta_A = 0.960 \pm 0.007$, $\eta_V = 1.022 \pm 0.004$,
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Form Factors from the Lattice

- **Unquenched Calculations become available!**
- Heavy Mass Limit is not used
- Lattice Calculations of the deviation from unity

$$\mathcal{F}(1) = 0.91^{+0.03}_{-0.04}$$

$$\mathcal{G}(1) = 1.074 \pm 0.018 \pm 0.016$$

A. Kronfeld et al.

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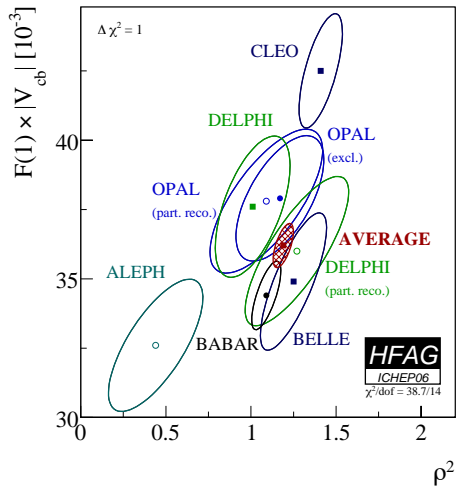
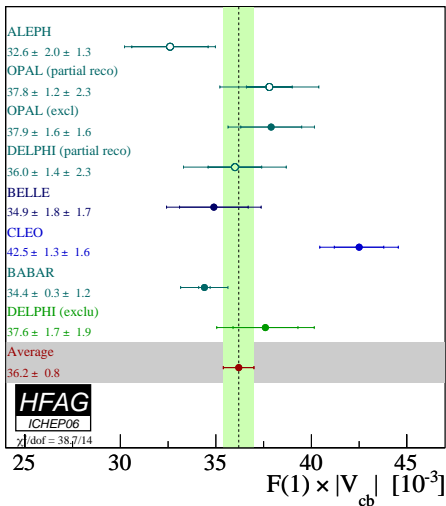
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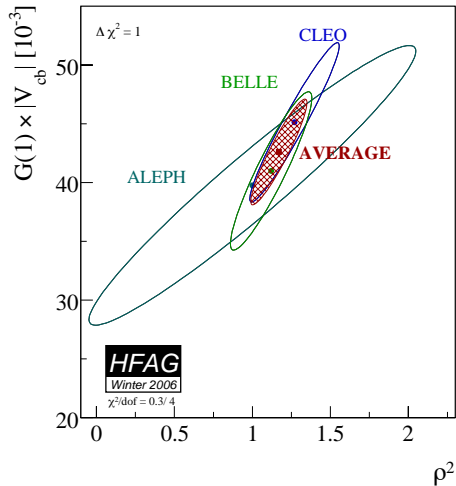
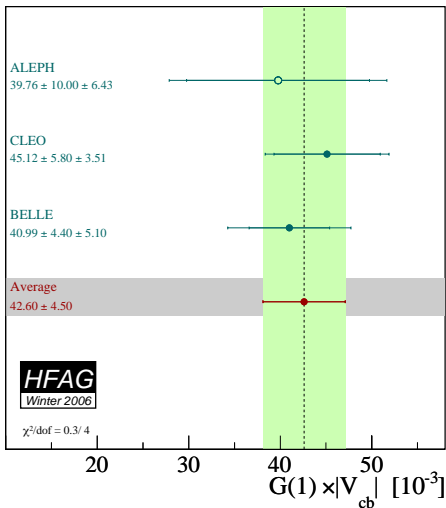
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$B \rightarrow D l \bar{\nu}_e$



$$V_{cb,excl} = (39.4 \pm 0.87^{+1.56}_{-1.24}) \times 10^{-3}$$

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More precise Lattice calculations

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Inclusive Decays: Using OPE

Operator Product Expansion = Heavy Quark Expansion

(Chay, Georgi, Bigi, Shifman, Uraltsev, Vainshtein, Manohar, Wise, Neubert, M,...)

$$\begin{aligned}
 \Gamma &\propto \sum_X (2\pi)^4 \delta^4(P_B - P_X) |\langle X | \mathcal{H}_{\text{eff}} | B(v) \rangle|^2 \\
 &= \int d^4x \langle B(v) | \mathcal{H}_{\text{eff}}(x) \mathcal{H}_{\text{eff}}^\dagger(0) | B(v) \rangle \\
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- Perform an OPE: m_b is much larger than any scale appearing in the matrix element

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$$= \sum_{n=0}^{\infty} \left(\frac{1}{2m_Q}\right)^n \mathbf{C}_{n+3}(\mu) \mathcal{O}_{n+3}$$

→ The rate for $B \rightarrow X_c l \bar{\nu}_l$ can be written as

$$\Gamma = \Gamma_0 + \frac{1}{m_Q} \Gamma_1 + \frac{1}{m_Q^2} \Gamma_2 + \frac{1}{m_Q^3} \Gamma_3 + \dots$$

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- Γ_0 is the decay of a free quark (“Parton Model”)
- Γ_1 vanishes due to Heavy Quark Symmetries
- Γ_2 is expressed in terms of two parameters

$$2M_H\mu_\pi^2 = -\langle H(v) | \bar{Q}_v (iD)^2 Q_v | H(v) \rangle$$

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μ_π : Kinetic energy and μ_G : Chromomagnetic moment

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New: $1/m_b^4$ Contribution Γ_4 (Dassinger, Turczyk, M.)

- Five new parameters:

$\langle \vec{E}^2 \rangle$: Chromoelectric Field squared

$\langle \vec{B}^2 \rangle$: Chromomagnetic Field squared

$\langle (\vec{p}^2)^2 \rangle$: Fourth power of the residual b quark momentum

$\langle (\vec{p}^2)(\vec{\sigma} \cdot \vec{B}) \rangle$: Mixed Chromomag. Mom. and res. Momentum

$\langle (\vec{p} \cdot \vec{B})(\vec{\sigma} \cdot \vec{p}) \rangle$: Mixed Chromomag. field and res. helicity

- Some of these can be estimated in naive factorization

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Heavy to Heavy: $B \rightarrow X_c \ell \bar{\nu}_\ell$

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 - Charged lepton energy spectrum
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- From the theoretical side:

Calculation of moments of the spectra

$$\langle M_X^n \rangle = \frac{1}{\Gamma} \int dM_X M_X^n \int_{E_{\text{cut}}} dE_\ell \frac{d^2\Gamma}{dM_X dE_\ell}$$

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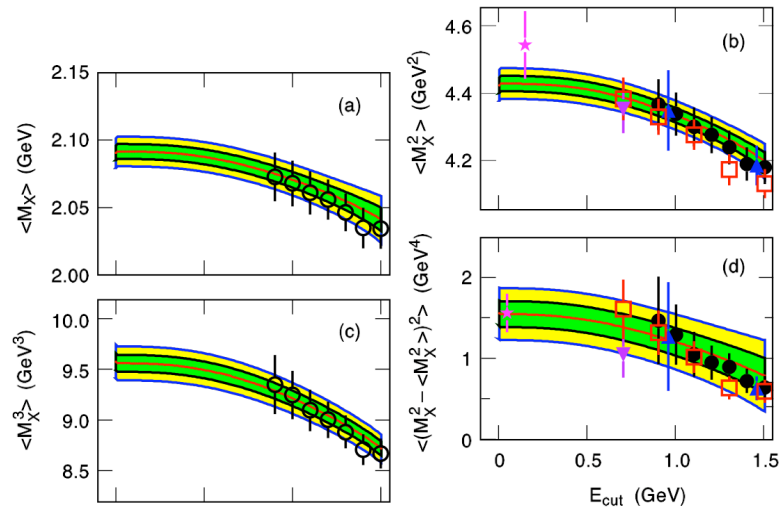
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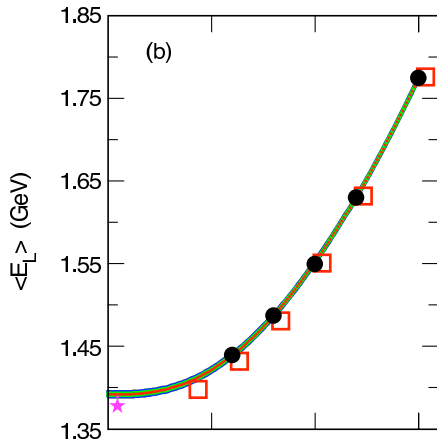
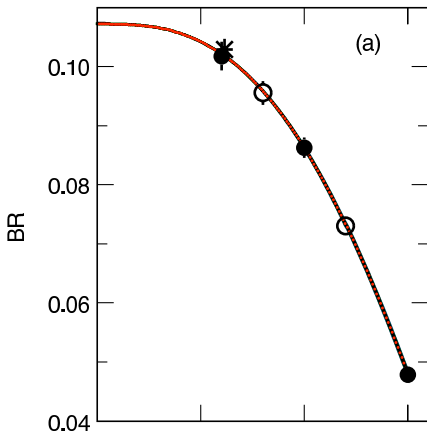
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Hadronic Invariant Mass Moments (Buchmüller, Flächer)

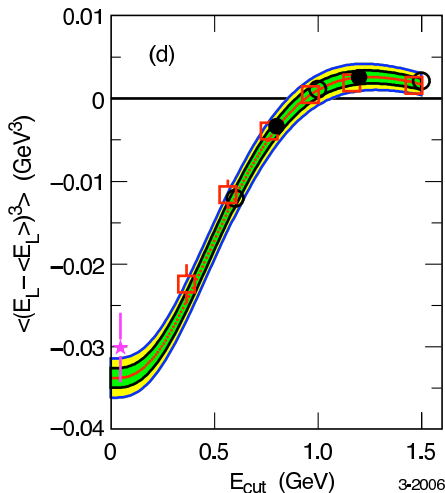
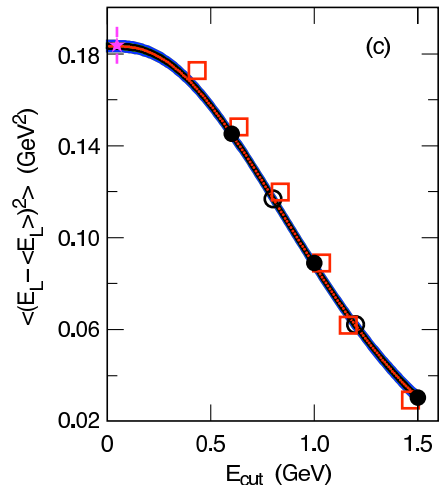


Lepton Energy Moments I (Buchmüller, Flächer)

● BABAR ■ BELLE ★ DELPHI ✱ HFAG



Lepton Energy Moments II (Buchmüller, Flächer)



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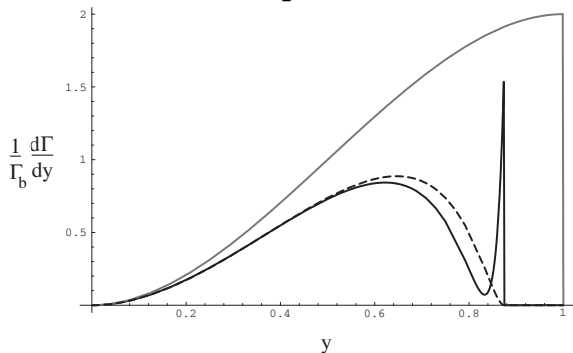
$$V_{cb,incl} = (41.96 \pm 0.23_{exp} \pm 0.35_{HQE} \pm 0.59_{\Gamma_{sl}}) \times 10^{-3}$$

O. Buchmüller, HQL2006

Twist Expansion

- Calculation of spectra within the OPE

$$\frac{d\Gamma}{dy} \propto \Theta(1 - y - \rho) \left[2 - \frac{\mu_\pi^2}{(m_Q(1-y))^2} \left(\frac{\rho}{1-\rho} \right)^2 \left\{ 3 - 4 \left(\frac{\rho}{1-\rho} \right) \right\} \right]$$



- $y = \frac{2E_\ell}{m_b}$

- $\rho = \frac{m_c^2}{m_b^2}$

- In the massless case this becomes for $B \rightarrow X_u \ell \bar{\nu}_\ell$

$$\frac{d\Gamma}{dy} \stackrel{y \rightarrow 1}{=} \frac{G_F^2 |V_{ub}^2| m_b^5}{96\pi^3} \left[\Theta(1-y) + \frac{\mu_\pi^2 - \mu_G^2}{6m_b^2} \delta(1-y) + \frac{\mu_\pi^2}{6m_b^2} \delta'(1-y) + \dots \right]$$

- Likewise for $B \rightarrow X_s \gamma$ ($x = \frac{2E_\gamma}{m_b}$)

$$\frac{d\Gamma}{dx} = \frac{G_F^2 \alpha m_b^5}{32\pi^4} |V_{ts} V_{tb}^*|^2 |C_7|^2 \left(\delta(1-x) - \frac{\mu_\pi^2 - \mu_G^2}{2m_b^2} \delta'(1-x) + \frac{\mu_\pi^2}{6m_b^2} \delta''(1-x) + \dots \right)$$

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Shape- or Light-Cone Distribution Functions

- Resummation into a **shape function** or **light cone distribution function** (Bigi, Shifman, Uraltsev, Neubert, M., ...)

$$2M_B f(\omega) = \langle B(v) | \bar{b}_v \delta(\omega + i(n \cdot D)) | B(v) \rangle$$

such that

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$$\frac{d\Gamma}{dx} = \Gamma_0 \left[\sum_i a_i \left(\frac{1}{m_b} \right)^i \delta^{(i)}(1-x) + \mathcal{O}((1/m_b)^{i+1} \delta^{(i)}(1-x)) \right]$$

- Coefficients a_i are the moments of the spectrum:
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- Problem: How to calculate corrections to the shape functions?
- More than two scales involved!
- Inclusive Rates in the Endpoint become (Korchemski, Sterman)

$$d\Gamma = H * J * S$$

with $*$ = Convolution

- H : Hard Coefficient Function, Scales $\mathcal{O}(m_b)$
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Basics of Soft Collinear Effective Theory

- Heavy-to-light decays:

Kinematic Situations with energetic light quarks
 hadronizing into jets or energetic light mesons

p_{fin} : Momentum of a light final state meson

$$p_{fin}^2 \sim \mathcal{O}(\Lambda_{\text{QCD}} m_b) \quad v \cdot p_{fin} \sim \mathcal{O}(m_b)$$

- Use light-cone vectors $n^2 = \bar{n}^2 = 0$, $n \cdot \bar{n} = 2$:

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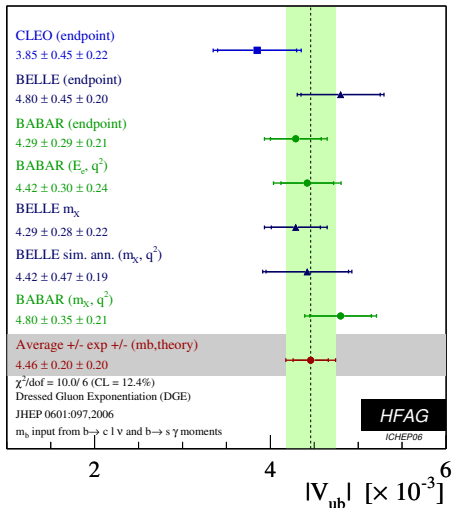
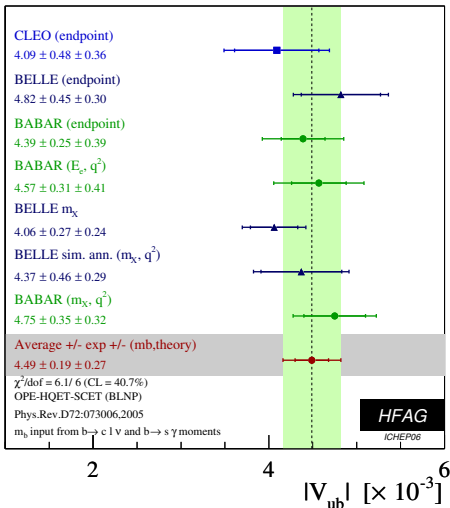
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Results for V_{ub}



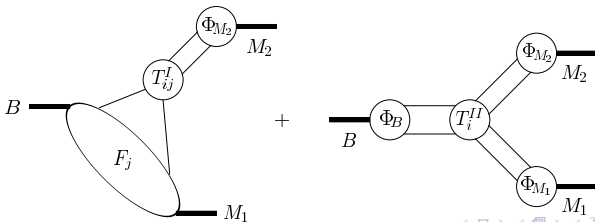
Towards Understanding Non-leptonic Decays

- Non-leptonic decays require the calculation of hadronic matrix elements of four-quark operators, e.g. for a decay like $B \rightarrow \pi\pi$

$$\mathcal{M} = \langle B | (\bar{b}\gamma_\mu(1 - \gamma_5)q)(\bar{q}'\gamma_\mu(1 - \gamma_5)q'') | \pi\pi \rangle$$

- In the large m_b limit a factorization theorem has been proven (QCD-Factorization, similar to SCET)

(Beneke, Buchalla, Neubert, Sachrajda)



General Properties of QCD-Factorization

- Leading term is (contains) naive factorization
- Non-perturbative quantities are the soft form factor and the light cone distributions of the light hadrons and of the B meson
- The strong phases of the matrix elements are either perturbative ($\mathcal{O}(\alpha_s(m_b))$) or power suppressed ($\mathcal{O}(\Lambda_{\text{QCD}}/m_b)$)
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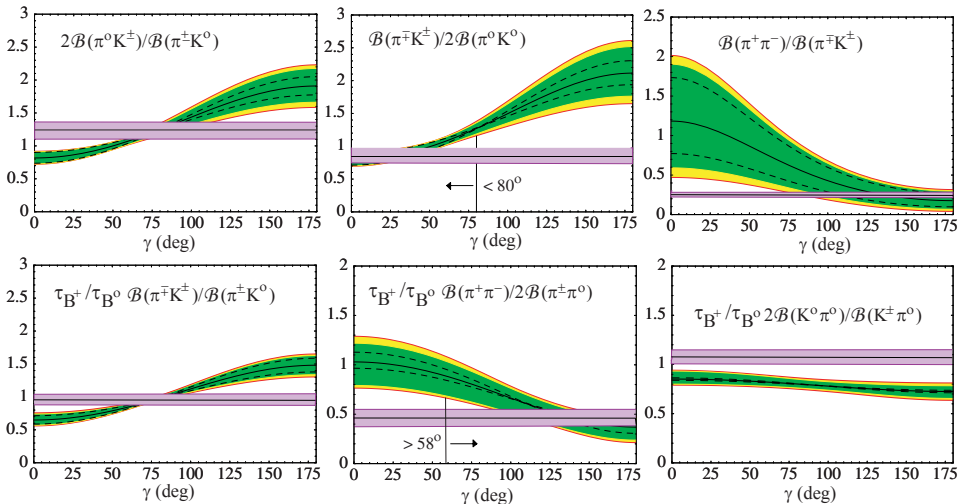
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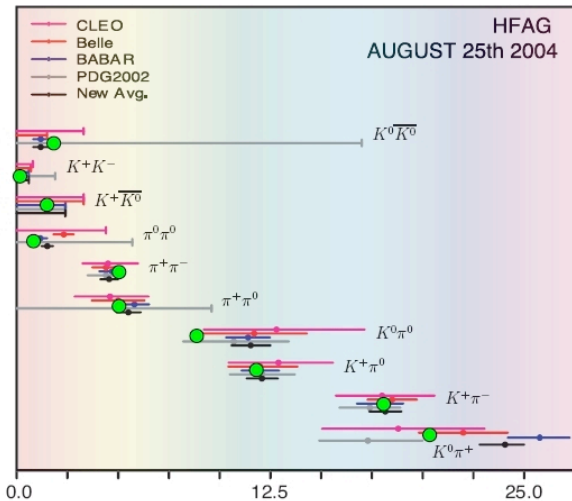
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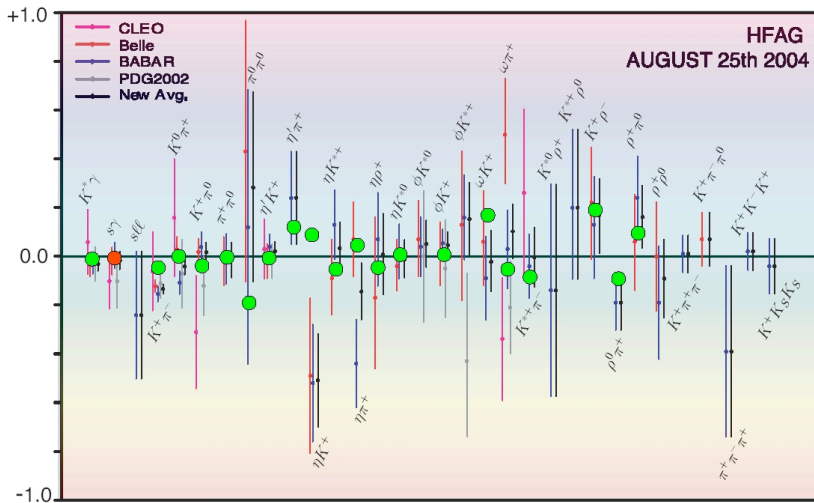


(Beneke, Buchalla, Neubert, Sachrajda, 2001)

Update on the BR's by Neubert (CKM 2005, San Diego)



Update on the CP Asymmetries by Neubert (CKM 2005)



Summary I: The achievements

- Effective field theory methods made precise calculations in heavy quark physics possible
- Starting about 1989 HQET and HQE put heavy quark physics on a model independent basis
- → Model dependence often appears only at subleading orders
- SCET is an ansatz to understand also exclusive non-leptonic decays systematically
- I did not talk about **Lattice QCD calculations**:
Enormous progress due to better algorithms and to stronger computers
- **Heavy Flavour Physics has become (in some corners) a precision field.**

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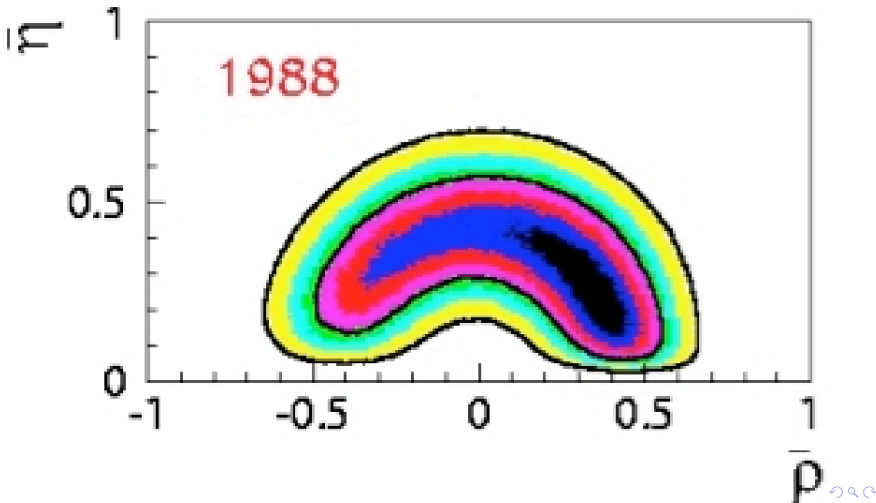
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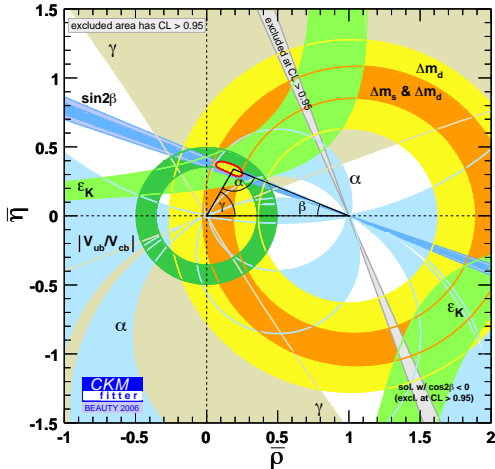
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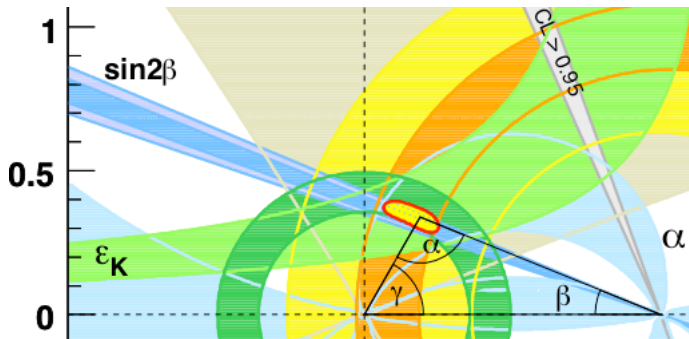
1988: Pre-historic Unitarity Triangle



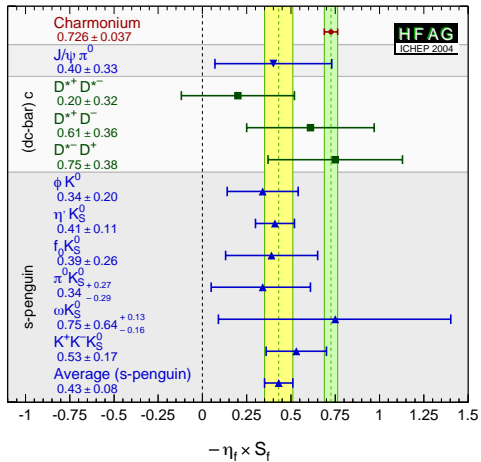
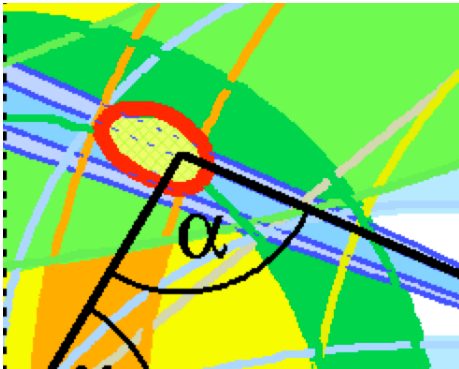
2006: Today's Unitarity Triangle



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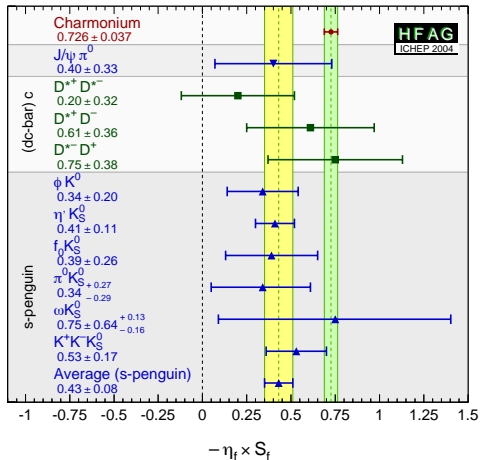
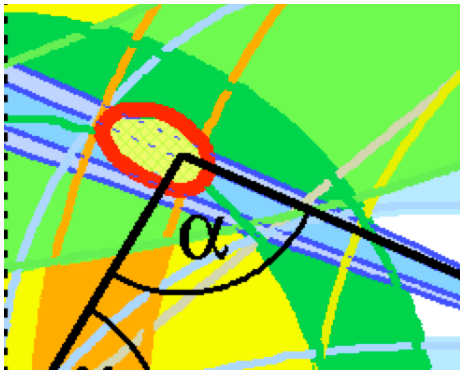


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